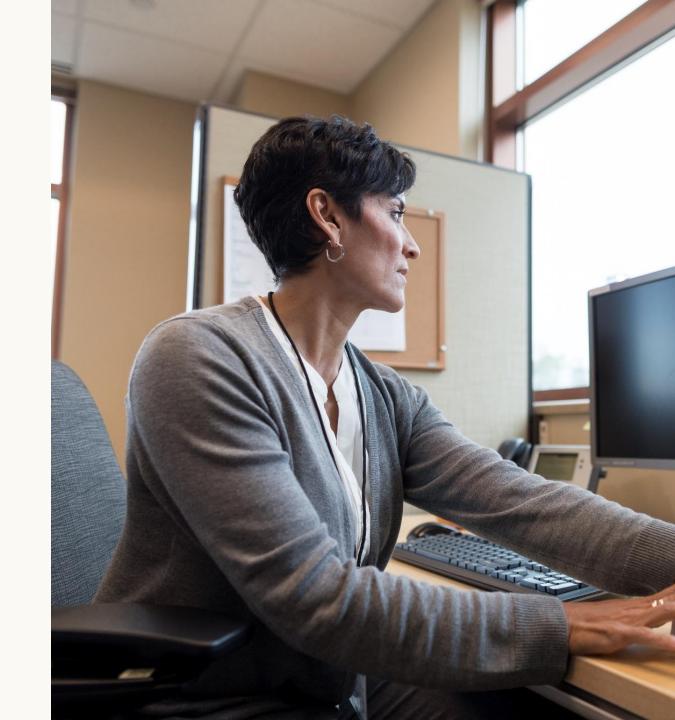
Optum

Service Authorizations Review

Heather Brady, LPC
Director, Clinical Operations
Optum Alaska



Agenda / Objectives

1 Level of Care Guidelines

2 PHE

Service Authorization Process/Electronic and Fillable PDF Submissions

4 Retrospective Reviews

5 Helpful Resources



PHE and State Fiscal Year (SFY) Limits

Currently, the Federal Public Health Emergency (PHE) remains in effect with an expiration date of 1/11/23. On January 12, 2023, State Fiscal Year limits will reset, and service authorizations will be accepted.

SFY limits annually reset on July 1. Due to the PHE, this has been modified. Providers will have SFY limits begin 01/12/23, again July 1, 2023, then annually thereafter.

If the PHE is extended, communication will be sent to providers to update the new start date for service authorizations.

With SFY limits resetting, it is not necessary or beneficial to attempt to submit all service authorizations on 1/12/23.



Level of Care Guidelines

Optum Alaska will review service authorization requests using evidence-based level of care clinical guidelines approved for use by the Alaska Division of Behavioral Health:

- ASAM: The American Society for Addiction Medicine (ASAM) Criteria® adults and adolescents presenting
 with substance use disorders
- LOCUS: The Level of Care Utilization System for Psychiatric and Addiction Services (LOCUS) by the American Association of Community Psychiatrists for adults,18 and older, with behavioral health disorders
- CAL-LOCUS/CASI: The Child and Adolescent Service Intensity Instrument by the American Academy of Child and Adolescent Psychiatry, for children, 6 to 18 with behavioral health disorders
- **ECSII:** The Early Childhood Service Intensity Instrument (ECSII), published by The American Academy of Child and Adolescent psychiatry for young children from birth to age 5.



Level of care instruments for BH medical necessity determination

Level of Care Utilization System – LOCUS[©]

- Adults, 18+
- American Association for Community Psychiatrist (AACP)

Early Childhood Service Intensity Instrument – ECSII[©]

- Birth to 5 years
- American Academy for Child and Adolescent Psychiatry (AACAP)
- Published 2009

Child and Adolescent Service Intensity Instrument – CALOCUS/CASII[©]

- 6 to 18 years
- American Academy for Child and Adolescent Psychiatry (AACAP).
- Updated from CA-LOCUS, 2009
- Version 4.1, 2018



Matching risk to level of care - a high-level crosswalk

NOTE: This slide is to illustrate examples and is NOT prescriptive

Risk Level

- Low Risk- Recovery and Health Maintenance
- Moderate Risk
- High Risk
- Very High Risk
- Secure Monitored

ASAM/SUD

- ASAM 1.0 Outpatient services
- ASAM 2.1 Intensive Outpatient; SUD Care coordination; ICM
- ASAM 2.5- PHP
- ASAM 3.1/3.3/3.5
- ASAM 3.7/4.0

Behavioral Health

- LOCUS/CASII 10-16; ESCII 9-17
 - Treatment plan and review; psychotherapy services; HBFT level 1 or 2
- LOCUS/CASII 17-19; ESCII 18-22
 - BH IOP; HBFT level 3; ICM
- LOCUS/CASII 20-23; ESCII 23-26
 - BH PHP, ACT, TTH
- LOCUS/CASII 23-17; ESCII 27-30
 - Adult/Children's MH Residential level 1 or 2
- LOCUS/CASII 28+
 - · Locked residential vs acute inpatient
 - This level not available for ESCII.



1115 Waiver Demonstration

- The State of Alaska hopes to develop and implement an integrated, data-driven, fiscally sustainable system of care that achieves improved patient experience, improved population health and reduced costs as well as improved behavioral health outcomes for Alaskans.
- Rebalance current behavioral health system of care to reduce Alaska's over-reliance on acute, institutional care and shift to more community or regionally based care
- Intervene as early as possible in the lives of Alaskans to address behavioral health symptoms before they cascade into functional impairments.
- Improve overall behavioral health system accountability by reforming the existing system of care
- Find a budget neutral solution to escalating costs associated with Rehab codes



What is a Service Authorization?

- Unified method to demonstrate medical necessity for Level of Care (Right Service at the Right Time)
- A process to assist in guiding providers in the use of medical necessity criteria for LOC determinations
- A process to create data to drive decisions and growth of services



How are they related to Levels of Care?

- Level 1 Outpatient Behavioral Health Services
- Level 1 Outpatient SUD Services
- Level 2 Intensive Behavioral Outpatient Services
- Level 2 Intensive SUD Services
- Level 3 Residential Behavioral Health Services
- Level 4 Residential SUD services



How to Submit Service Authorizations

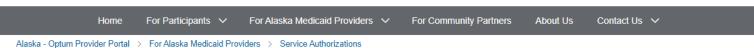
https://alaska.optum.com/content/ops-alaska/alaska/en/providers/Service-Authorizations.html

Online

Optum Alaska

Search Search

Fillable Form



Service Authorization are on hold until the end of the Federal Public Health Emergency.

Service Authorization Request Forms

Service authorizations are required for all services after participant state fiscal year limits have been exhausted. Providers can submit service authorizations either through an Online Portal or by completing a PDF and faxing to Optum. Providers are encouraged to use the forms used on this webpage as form versions may change.

Service Authorization Online Submissions

Online Service Authorization Form [7]

Service Authorization Fillable Forms

- 1115 SUD Waiver Service Authorization (pdf) [7]
- Autism Services Service Authorization (SA) Request Form (pdf)
- Mental Health Physician Clinic (MHPC) Service Authorization (SA) Request Form (pdf) [7]
- Psychological and Neuropsychological Testing Service Authorization (SA) Request [7]
- State Plan Service Authorization (pdf)



Where are Service Authorizations Submitted

There are four (4) methods to submit a Service Authorization request:



Fax: 844.881.3753



Phone: 800.225.8764



Online: https://electronicforms.force.com/alaskaform/s/



Mail: Optum Alaska

Attn: Service Authorizations

911 W. 8th Ave. Ste 101

Anchorage, AK 99501



Service Authorization Guidance and Forms



It is recommended providers review the <u>service authorization forms</u> and become familiar with the questions/expectations prior to the end of the PHE. Please do not submit any service authorization forms prior to the end of the PHE. Providers will find the "Planned Discharge Date" is on the form.



Most service authorizations are in 90-day increments. Residential is 30 days. Due to service codes having differing SFY limits, the timeframe for requesting an initial service authorization would vary per participant. Ideally, initial service authorizations would be submitted 1-2 weeks prior to SFY limits ending. Provider's may submit earlier.



If the SFY limits have been used, the participant is still in need of services and a service authorization request is needed, please submit the service authorization form. Optum understands there are circumstances that may cause a delay for providers submitting the service authorization form prior to SFY limits being exhausted. If the participant is still in services, providers can submit a service authorization request that will be back dated. Example: SFY units exhausted 09/30/22, Service Authorization request submitted 11/01/22. The service authorization dates would be 10/01/22 through 90 days.



Service Authorization Guidance and Forms



All boxes on the service authorization form are to be completed. These are utilized to document medical necessity. If you are uploading/attaching supporting documents, you do not need to note "see document". Supporting documents do not replace the need to enter medical necessity information in the service authorization form and scores from medical necessity scoring tools are not sufficient for rendering a decision.



If a code is not listed on a service authorization form, it does not require a service authorization to be in place. Example: T1007 V1 Treatment plan and review.



Service Authorization forms can be found on the <u>Optum Alaska</u> website, For Alaska Medicaid Providers, <u>Service Authorizations.</u>



Service Authorization Guidance and Forms

Options to utilize are the Online portal, fillable PDF forms (can be printed) to submit via fax or mail, and telephonic.









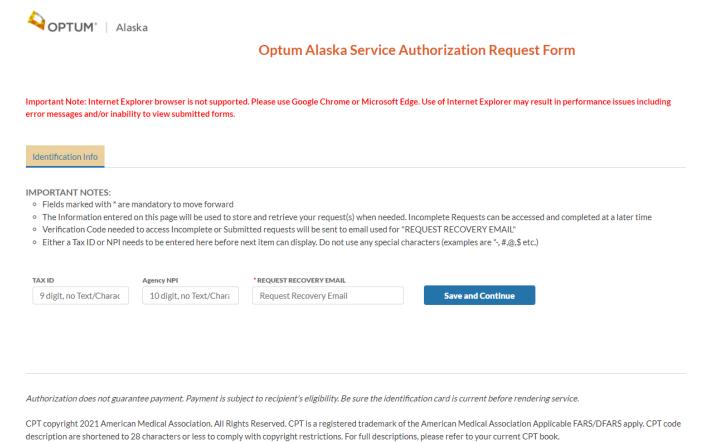
When using the online portal, there will be an access code for returning to Incomplete requests and submitted (complete) requests. This is a required HIPAA security code, and it will not be the same each time.

Providers can check the status of service authorization requests and view current requests via Provider Express or call the Call Center at 1-800-225-8764. Provider Express does require and account and login.



How to get started with an Online Service Authorization request submission

Sign In: Provide Tax ID OR Agency NPI. We only need one. We will communicate with you through the email you provide on this form.

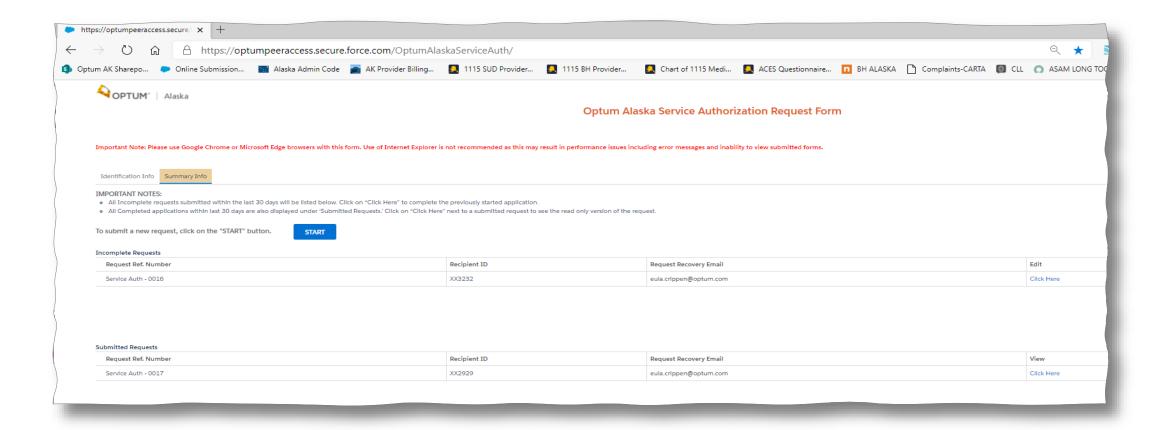




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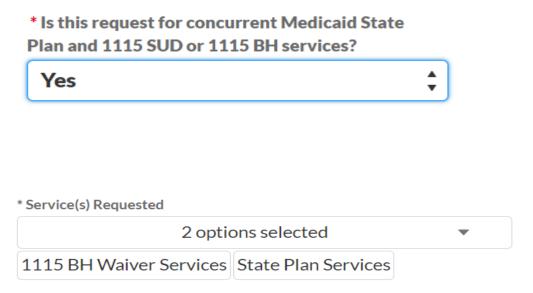
Service authorization summary info

On this page, you will find all the service authorization requests submitted for this Tax ID or NPI.





Need more than one SA type?





Recipient Eligibility

Recipient Eligibility for 1115 BH Waiver Services

Drop down:

A child (0-17)

A youth (age 18-21)

An adult (age 21+)

Recipient Eligibility for State Plan Services:

Drop down:

A youth (age 0-21) w/ED (eligible for clinical services ONLY)

An Adult (age 21+) w/ED (eligible for clinical services ONLY)

A youth (age 0-21) w/ SED (eligible for clinical and rehab services)

An Adult (age 21+) w/SMI (eligible for clinical and rehab services)

A youth (age 0-21) w/ SUD (eligible for clinical and rehab services)

An Adult (age 21+) w/SUD (eligible for clinical and rehab services)



Two types continued



*Recipient Eligibility for State Plan Services

A youth (age 0-21) w/ ED (eligible for \$\div\$



1115 BH Residential Services

1115 BH Crisis Services

1115 BH Treatment: Home Based

1115 BH Treatment Services

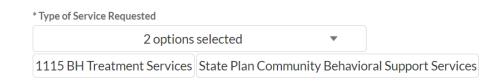
State Plan Behavioral Health Assessment

State Plan Outpatient Psychotherapy

State Plan Community Behavioral Support Services

State Plan Peer Support Services

State Plan Crisis Intervention/Stabilization



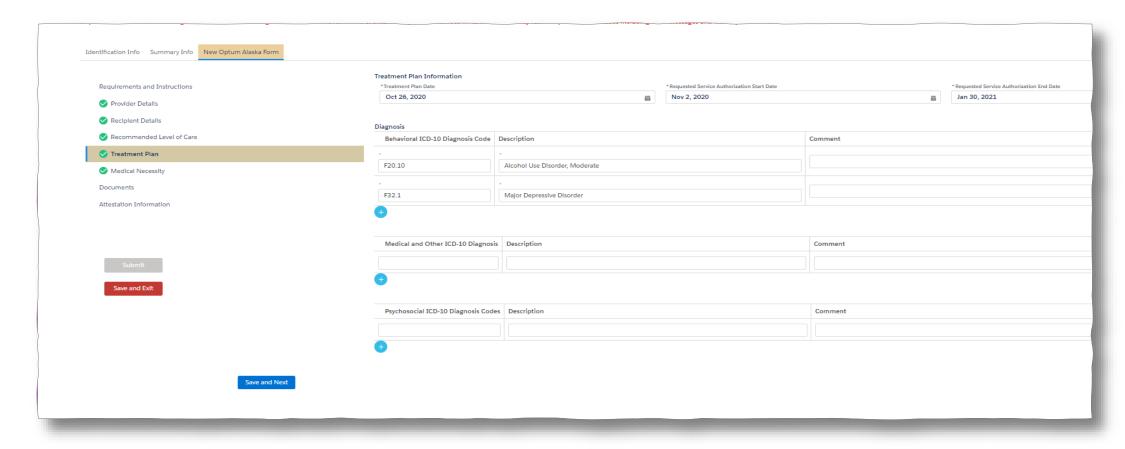
What about the codes?

1115 BH Treatment Services	Code	* Modifier(s)	Unit	* Units Requested	State Plan Community Behavioral Support Services	Code	* Modifier(s)	Unit	* Units Requested
Intensive Outpatient - Individual	H0015	Select an option▼	15 mins		Day Treatment for Children (R)	H2012	Select an option▼	Hourly	
Intensive Outpatient - Group	H0015	Select an option▼	15 mins		Therapeutic BH Services - Individual (R)	H2019	Select an option▼	15 mins	
Intensive Case Management	H0023	Select an option▼	15 mins		Therapeutic BH Services - Group (R)	H2019	Select an option	15 mins	
Partial Hospitalization	H0035	Select an option▼	Daily				Select an option▼		
Community & Recovery Support Svcs - Individual	H2021	Select an option▼	15 mins		Therapeutic BH Services - Family (w/patient present) (R)	H2019	Science un option	15 mins	
Community & Recovery Support Svcs - Group	H2021	Select an option▼	15 mins		Therapeutic BH Services - Family (w/out patient present) (R)	H2019	Select an option▼	15 mins	
Assertive Community Treatment Services	H0039	Select an option▼	15 mins		Case Management (R)	T1016	Select an option	15 mins	



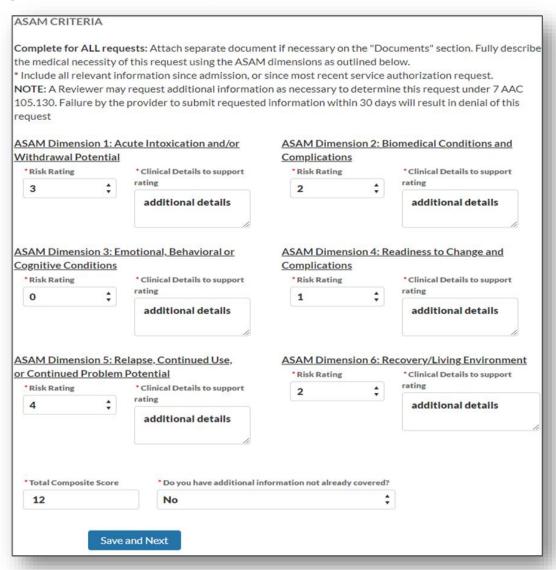
Diagnoses and treatment plan

Provide the Treatment Plan dates, the date on which services will begin, the date by which the services will end and all relevant diagnoses.



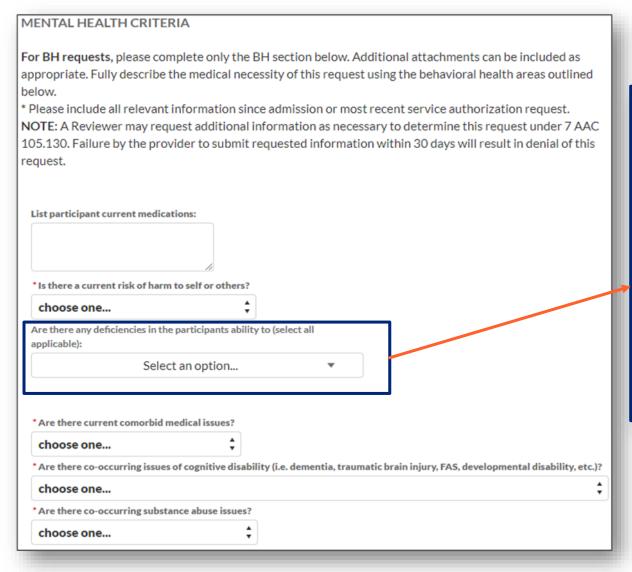


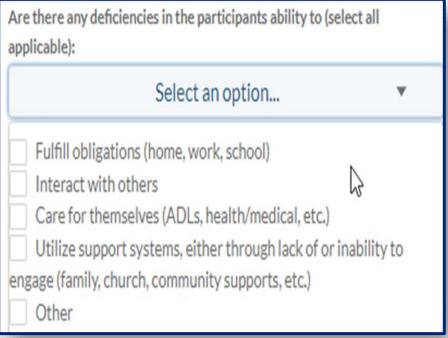
Online submission SUD clinical criteria





Online submission BH clinical criteria







Uploading supporting documents

INSTRUCTIONS FOR DOCUMENTS UPLOAD: Please click on the "choose file" button below to select and attach documents to this request. Include documentation supporting your request, for example: Most recent Individual Care/Service/Treatment Plan, doctor's notes, medication updates. You can use this feature multiple times to attach multiple documents. Saved documents will reflect under the "Uploaded Attachments" section. Choose File No file chosen **UPLOADED ATTACHMENTS** DELETE NAME Next



Submission complete

This is what it looks like.....



As the assigned directing clinician for the above named recipient, I hereby:

- Affirm the assessment of the recipient's symptomatology, current level of functionality is documented in the recipient's clinical
 record and the treatment plan services, units, and duration requested are medically necessary and consistent with the
 recipient's level of impairment.
- Affirm that, for a recipient who is a child, the clinical record documents the required participation and input of the child's treatment team.
- Acknowledge the services are subject to post-payment review of medical necessity and completeness of documentation
 according to Medicaid/Denali Kid Care program rules and that the Department of Health and Social Services may recoup
 payment for any services that are not medically necessary, not properly documented, or not in compliance with Medicaid
 program rules.
- o Acknowledge that approval of this authorization request does not guarantee payment

* Directing Clinician Electronic Signature		*Credentials
* Date of Review by Directing Clinician		*Direct Phone Number
	亩	
S	ave	Submit



As the Assigned Administrator for the above named recipient, I hereby:

- Affirm that the above described clinical information is true and accurate, as provided by the directing clinician.
- Affirm that I am signing on behalf of the directing clinician with their knowledge and approval.
- Affirm the assessment of the recipient's symptomatology, current level of functionality is documented in the recipient's clinical record and the treatment plan services, units, and duration requested are medically necessary and consistent with the recipient's level of impairment.
- Affirm that, for a recipient who is a child, the clinical record documents the required participation and input
 of the child's treatment team.
- Acknowledge the services are subject to post-payment review of medical necessity and completeness of documentation according to Medicaid/Denali Kid Care program rules and that the Department of Health and Social Services may recoup payment for any services that are not medically necessary, not properly documented, or not in compliance with Medicaid program rules; and
- Acknowledge that approval of this authorization request does not guarantee payment.

Assigned Administrator Electronic Signature	* Assigned Administrator Credentials
* Date of Review by Assigned Administrator	* Direct Phone Number
Save Submit	



Your Optum Alaska Request has been submitted successfully. We will review this request and get back to you.

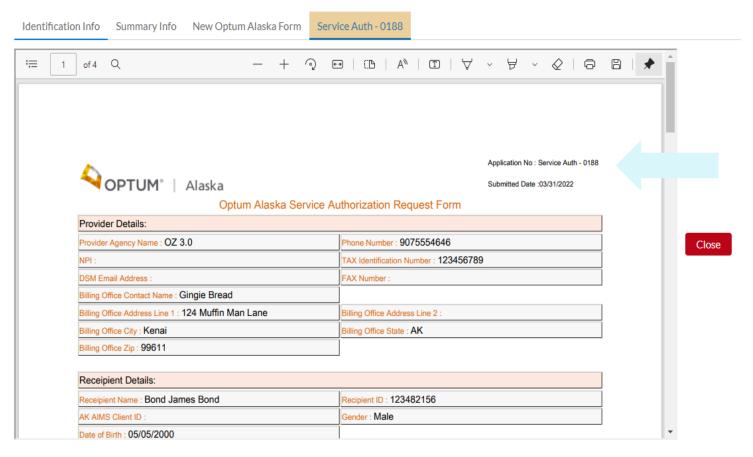
You can click here to view/download completed request.

You may now click here to close this window.



Other click options

 If you click "to view/download" as mentioned, you will see your entire service authorization form to include the application number



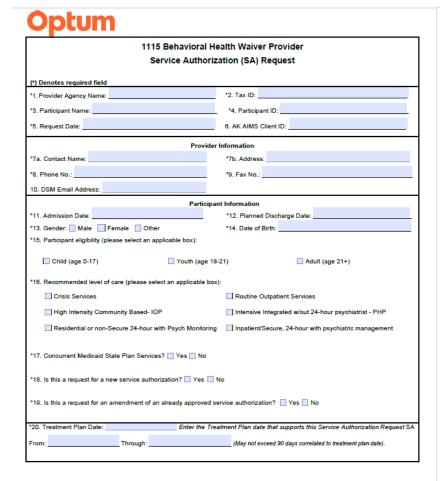


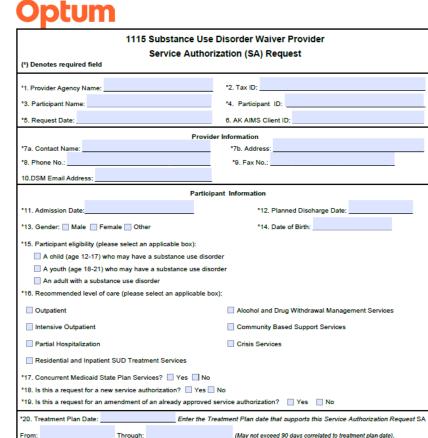
Fillable PDF Submission

- Fax Number: 1-844-881-3753
- Telephone: 1-800-225-8764
- A Care Advocate will fill out the service authorization form while the provider is on the phone providing information. This process takes a minimum of 30 minutes.
- USPS/Surface Mail: 911 W. 8th Ave Ste 101 Anchorage AK 99501
- (this is a very slow process however, if a provider finds themselves in a no internet, no phone situation, this is available)
- An AK local Optum team member will fax the paper application received in the mail, to the above fax



How to complete the service authorization request form





Fill out demographic information entirely.

Remember that the address will be the servicing location.

Include admission date and planned date of discharge

Treatment plan dates should be included.

As a reminder: the SA form is referred to as Autism Services which is used interchangeably with ABA (Applied Behavior Analysis)



How to complete the service authorization request - paper version

+24	21. Diagnosis Codes						
(a)	Behavioral ICD-1	0 Diagnosis Code(s) Mental, Behavioral, and	Neurodevelopmental Disorders (F01-F99):				
	ICD-10 Code	Description	Comment				
(b)	b) Medical and other ICD-10 Diagnosis Code(s):						
	ICD-10 Code	Description	Comment				
(c)	Psychosocial ICD	-10 Diagnosis Code(s) Injury, Poisoning, and	Certain Other Consequences of External Causes (T07-T88)				
		encing Health Status and Contact with Health					
	ICD-10 Code	Description	Comment				
	100-10 0000	Description	Comment				
22.	Medical Necessi	ity Description	•				
			litional attachments can be included as appropriate. Fully				
		necessity of this request using the behavioral					
rie	ase include all rei	evant information since admission or most rec	ent service authorization request.				
			y to determine this request under 7 AAC 105.130. Failure by the				
rov	ider to submit req	uested information within 30 days will result in	denial of this request.				
ict	current prescrib	ed medications (include psychotropic med	lications in this section):				
	No Update	ed medications (moldde psychotropic med	indutions in this section).				
	ivo opuate						
s th	ere a current ris	k of harm to self or other?	No Update				
			rity if there is any active intent or plan to commit suicide or specific to a situation or event that has occurred recently:				
			•				

ŀ	ICD-10 Code	Description	Comment	
) [Medical and othe	er ICD-10 Diagnosis Code(s):		
F	ICD-10 Code	Description	Comment	
	and Factors Influ	uencing Health Status and Conta	isoning, and Certain Other Consequences of Externate with Health Services (Z00-Z99):	al Causes (TU7-188)
L	ICD-10 Code	Description	Comment	
-				
	Medical Nasses	its Description Complete for		h, dogoibe the medical
o o ail	essity of this requ TE: A Reviewer lure by the prov	uest using the ASAM dimension may request additional inform ider to submit requested infor	ition as necessary to determine this request undensation within 30 days will result in denial of this re	er 7 AAC 105.130.
O ail	essity of this requ TE: A Reviewer lure by the prov	uest using the ASAM dimension may request additional inform ider to submit requested infor	as outlined below. ition as necessary to determine this request unde	er 7 AAC 105.130.
O ail	essity of this requ TE: A Reviewer lure by the prov	uest using the ASAM dimension may request additional inform ider to submit requested infor	as outlined below. tion as necessary to determine this request unde nation within 30 days will result in denial of this re	er 7 AAC 105.130.
o o ail	essity of this requ TE: A Reviewer lure by the prov	uest using the ASAM dimension may request additional inform ider to submit requested infor	as outlined below. tion as necessary to determine this request unde nation within 30 days will result in denial of this re	er 7 AAC 105.130.
O ail	essity of this requ TE: A Reviewer lure by the prov	uest using the ASAM dimension may request additional inform ider to submit requested infor	as outlined below. tion as necessary to determine this request unde nation within 30 days will result in denial of this re	er 7 AAC 105.130.
ec O' ail	essity of this req TE: A Reviewer lure by the prov it current prescr	uest using [†] the ASAM dimension may request additional inform ider to submit requested infor ribed medications (include psy	as outlined below. ition as necessary to determine this request under attended the recommendation within 30 days will result in denial of this rechotropic medications in this section):	er 7 AAC 105.130.
or or ail	essity of this req TE: A Reviewer lure by the prov at current preson the current preson mension 1: Acute	uest using the ASAM dimension may request additional inform ider to submit requested infor	as outlined below. ition as necessary to determine this request under attended the recommendation within 30 days will result in denial of this rechotropic medications in this section):	er 7 AAC 105.130.
or ail is	essity of this req TE: A Reviewer Lure by the prov tt current presor tension 1: Acute Risk I	uest using the ASAM dimension may request additional inform ider to submit requested infor ribed medications (include psy properation and/or Withdraw	as outlined below. ition as necessary to determine this request under attended the recommendation within 30 days will result in denial of this rechotropic medications in this section):	er 7 AAC 105.130.
ec IO ail	essity of this req TE: A Reviewer Lure by the prov tt current presor tension 1: Acute Risk I	uest using the ASAM dimension may request additional informatier to submit requested informatier to submit requested informatied medications (include psy libed medications (include psy libed medications (include psy libed medications).	as outlined below. ition as necessary to determine this request under attended the recommendation within 30 days will result in denial of this rechotropic medications in this section):	er 7 AAC 105.130.
ec IO ail	essity of this req TE: A Reviewer Lure by the prov tt current presor tension 1: Acute Risk I	uest using the ASAM dimension may request additional informatier to submit requested informatier to submit requested informatied medications (include psy libed medications (include psy libed medications (include psy libed medications).	as outlined below. ition as necessary to determine this request under attended the recommendation within 30 days will result in denial of this rechotropic medications in this section):	er 7 AAC 105.130.

Include all behavioral health diagnosis codes.

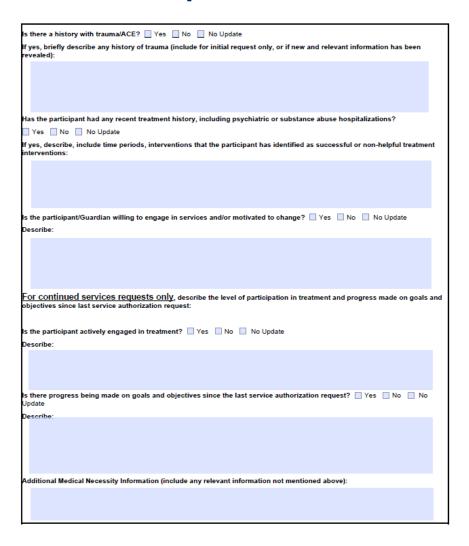
Include all medical information. If medical conditions impact behavioral health, be sure to include this information.

Include **all** medications, if the patient is compliant with medications, any changes to medications, and any barriers to compliance.

For Risk of harm, include frequency and intensity behaviors, if there are changes to behaviors and if there is a safety plan in place. Can include history as well.



How to complete the service authorization request - paper version



Include trauma history for the participant, including history of OCS or APS involvement.

For treatment history, include dates and levels of care (not just provider name) for all treatment that the participant has received. Provide information on participant engagement if known.

For engagement, include information for both participant and guardian (if applicable). If in OCS or DJJ custody, include this information as well.

For progress and objectives, include information related to the treatment plan and goals. How is the participant doing with reaching their goals?

Under additional medical information, include information regarding discharge planning and potential barriers to discharge. Include coordination of care plans. Highlight current symptoms at time of review and related functional impairments (MSE).



How to complete the service auth request - paper version

Units Requested						
Mental Health Treatment 1115 Services	Code	Modifiers	Unit	*23. Units Requested		
Intensive Outpatient - Individual	H0015	V2	15 mins			
Intensive Outpatient - Group	H0015	HQ V2	15 mins			
Partial Hospitalization	H0035	V2	Daily			
Intensive Case Management	H0023	V2	15 mins			
Community & Recovery Support Services - Individual	H2021	V2	15 mins			
Community & Recovery Support Services - Group	H2021	HQ V2	15 mins			
Assertive Community Treatment Services	H0039	V2	15 mins			
Outpatient Mental Health Treatment 1115 Services: Home Based	Code	Modifiers	Unit	*24. Units Requested		
Home-based Family Treatment Level 1	H1011	V2	15 mins			
Home-based Family Treatment Level 2	H1011	TF V2	15 mins			
Home-based Family Treatment Level 3	H1011	TG V2	15 mins			
Therapeutic Treatment Homes - Daily	H2020	V2	Daily			
Residential BH Treatment Services	Code	Modifiers	Unit	*25. Units Requested		
Adult Mental Health Residential Services Level 1	T2016	V2	Daily			
Adult Mental Health Residential Services Level 2	T2016	TG V2	Daily			
Children's Mental Health Residential Services Level 1	T2033	V2	Daily			
Children's Mental Health Residential Services Level 2	T2033	TF V2	Daily			
Crisis Services	Code	Modifiers	Unit	*26. Units Requested		
Crisis Residential Stabilization	S9485	V2	Daily			

Lin	its Request	ed		
Outpatient SUD Services	Code	Modifiers	Unit	*24. Units Requested
Outpatient Services ASAM 1.0 – Individual	H0007	V1	15 mins	24. Onits Requested
Outpatient Services ASAM 1.0 – Group Adolescent	H0007	HQ, HA, V1	15 mins	
Outpatient Services ASAM 1.0 – Group Adult	H0007	HQ, HB, V1	15 mins	
Intensive Outpatient ASAM 2.1 - Individual	H0015	V1	15 mins	
Intensive Outpatient ASAM 2.1 - Group	H0015	HQ, V1	15 mins	
Partial Hospitalization ASAM 2.5	H0035	V1	Daily	
Residential SUD Treatment Services	Code	Modifiers	Unit	*25. Units Requested
SUD Residential 3.1 - Adolescent	H2036	HA, V1	Daily	
SUD Residential 3.1 - Ages 18-21	H2036	CG, HA, V1	Daily	
SUD Residential 3.1 - Adult	H2036	HF, V1	Daily	
SUD Residential 3.3	H0047	HF, V1	Daily	
SUD Residential 3.5 - Adolescent	H0047	HA, V1, TF	Daily	
SUD Residential 3.5 - Ages 18-21	H0047	CG, V1, HA, TF	Daily	
SUD Residential 3.5 - Adult	H0047	TG, V1	Daily	
Inpatient SUD Treatment				*26. Units Requested
Med Monitored Intensive Inpatient Services 3.7	H0009	TF, V1	Daily	
Med Managed Intensive Inpatient Services 4.0	H0009	TG, V1	Daily	
Alcohol and Drug Withdrawal Management Services	Code	Modifiers	Unit	*27. Units Requested
Ambulatory Withdrawal Management	H0014	V1	15 MIN	
Clinically Managed Residential Withdrawal Management	H0010	V1	Daily	
Med Monitored IP Withdrawal Management 3.7 WD	H0010	TG, V1	Daily	
Med Mng Intensive IP Withdrawal Management 4.0 WD	H0011	V1	Daily	
Community Based Support Services	Code	Modifiers	Unit	*28. Units Requested
Community & Recovery Support Svcs - Individual	H2021	V1	15 mins	
Community & Recovery Support Svos - Group	H2021	HQ, V1	15 mins	
SUD Care Coordination	H0047	V1	Monthly	
Intensive Case Management	H0023	V1	15 mins	
Crisis Services	Code	Modifiers	Unit	*29. Units Requested
Crisis Residential Stabilization	S9485	V1	Daily	

Units requested should be filled out for each type of service the participant requires.

Remember, if services will be provided under State Plan and 1115 Waiver, both forms will need to be submitted.



Codes and Modifiers Used for Service Authorizations

H0007: HQ: HA: V1: 95: Outpatient 1.0 - Group (Adolescent) - 15 mins

H0007: HQ: HA: V1: GT: Outpatient 1.0 - Group (Adolescent) - 15 mins

H0007: HQ: HA: V1: Outpatient 1.0 - Group (Adolescent) - 15 mins

H0007: HQ: HB: V1: 95: Outpatient 1.0 - Group (Adult) - 15 mins

H0007: HQ: HB: V1: GT: Outpatient 1.0 - Group (Adult) - 15 mins

H0007: HQ: HB: V1: Outpatient 1.0 - Group (Adult) - 15 mins

H0007: V1: 95: Outpatient 1.0 - Individual (Telehealth) - 15 mins

H0007: V1: GT: Outpatient 1.0 - Individual (Telehealth) - 15 mins

H0047: HA: V1: TF: SUD Residential 3.5 (Adolescent) Daily
H0047: CG: HA: V1: TF: SUD Residential 3.5 (Adolescent 18-20) Daily
H0047: HF: V1: SUD Residential 3.3 (Adult) Daily
H0047: TG: V1: SUD Residential 3.5 (Adult) Daily

H0015: HQ: V1: 95: Intensive Outpatient Program ASAM 2.1 Group 15 mins H0015: HQ: V1: GT: Intensive Outpatient Program ASAM 2.1 Group 15 mins H0015: HQ: V1: Intensive Outpatient Program ASAM 2.1 - Group 15 mins H0015: HQ: V2: 95: Intensive Outpatient - Group (Telehealth) H0015: HQ: V2: GT: Intensive Outpatient - Group (Telehealth) H0015: HQ: V2: Intensive Outpatient - Group H0015: V1: 95: Intensive Outpatient ASAM 2.1 - Individual (Telehealth) 15 mins H0015: V1: GT: Intensive Outpatient ASAM 2.1 - Individual (Telehealth) 15 mins H0015: V1: Intensive Outpatient Program ASAM 2.1 - Individual 15 mins H0015: V2: 95: Intensive Outpatient - Individual (Telehealth) H0015: V2: GT: Intensive Outpatient - Individual (Telehealth) H0015: V2: Intensive Outpatient - Individual

H2036: HA: V1: SUD Residential 3.1 (Adolescent) Daily
H2036: CG: HA: V1: SUD Residential 3.1 (Adolescent 18-20) Daily
H2036: HF: V1: SUD Residential 3.1 (Adult) Daily



1115 SUD Waiver, Residential

1115 SUD Residential Treatment Services	Code	* Modifier(s)	Unit	* Units Requested
SUD Residential 3.1 (Adolescent)	H2036	2 options selected V1 HA	Daily	
SUD Residential 3.1 (Adult)	H2036	2 options selected V1 HF	Daily	
SUD Residential 3.3	H0047	2 options selected V1 HF	Daily	
SUD Residential 3.5 (Adolescent)	H0047	3 options selected V1 HA TF	Daily	
SUD Residential 3.5 (Adult)	H0047	2 options selected V1 TG	Daily	
SUD Residential 3.1 (Ages 18-21)	H2036	3 options selected	Daily	
SUD Residential 3.5 (Ages 18-21)	H0047	4 options selected CG V1 HA TF	Daily	



How to complete the service authorization request - paper version

Please sign the attestation	appropriate to	your role (only o	ne signature is necessar	y for submission):
-----------------------------	----------------	-------------------	--------------------------	--------------------

As the Directing Clinician working for the above-named participant, I hereby:

- Affirm the assessment of the participant's symptomatology, current level of functionality is documented in the participant's clinical record and the treatment plan services, units, and duration requested are medically necessary and consistent with the participant's level of impairment.
- Affirm that, for a participant who is a child, the clinical record documents the required participation and input of the child's treatment team.
- Acknowledge the services are subject to post-payment review of medical necessity and completeness of documentation
 according to Medicaid/Denali Kid Care program rules and that the Department of Health & Social Services may recoup payment
 for any services that are not medically necessary, not properly documented, or not in compliance with Medicaid program rules;

	and Acknowledge that approval	of this authorization request does n	ot guarantee payment.	
28a.			MINER	
	Directing Clinician	Credentials	Signature	Date

As the Assigned Administrator for the above-named participant, I hereby:

- · Affirm that the above described clinical information is true and accurate, as provided by the directing clinician.
- · Affirm that I am signing on behalf of the directing clinician with their knowledge and approval.
- Affirm the assessment of the participant's symptomatology, current level of functionality is documented in the participant's clinical record and the treatment plan services, units, and duration requested are medically necessary and consistent with the recipient's level of impairment.
- Affirm that, for a participant who is a child, the clinical record documents the required participation and input of the child's treatment team.
- Acknowledge the services are subject to post-payment review of medical necessity and completeness of documentation according to Medicaid/Denail Kid Care program rules and that the Department of Health & Social Services may recoup payment for any services that are not medically necessary, not properly documented, or not in compliance with Medicaid program rules; and
- · Acknowledge that approval of this authorization request does not guarantee payment.

		MINIMA		
28b.				
Administrative Ass	stant Credentials	Cinnatura	Date	
Administrative Ass	stant Credentials	Signature	Date	



Special service authorization circumstances

Distance and availability of resources:

- It will be important to note special circumstances when writing the medical necessity essay on your Service Authorization Request.
- Providers are encouraged to acknowledge extenuating circumstances for extended stay at current level of care if impacted by geographic, weather, transportation or other special or unavoidable circumstance.
- Example: Currently in OP, need IOP or PHP but request is for Inpatient LOC. You may need to request a higher LOC if the level you assess is not available. Ex: Person meets criteria for 3.1, but the only residential option available in the region is 3.5.
- Extenuating circumstances DO NOT GUARANTEE APPROVAL of Service Authorization but should be pointed out for consideration of the request.



Making Level of Care Determinations

Step 1 Step 2 Step 3

Provide the answers to questions in the Medical Necessity section of the Service Authorization Request

Optum uses clinical information provided to determine medical necessity by utilizing the appropriate level of care guideline (ASAM, LOCUS, etc.)

Optum will compare Optum's LOC determination against provider's request and seek additional information/justification if needed



Care Advocates



Care Advocate Role

Receive and process service authorization requests using level of care guidelines criteria to make determinations, in collaboration with the Medical Directors



Care Advocate Tools/Medical Necessity Criteria

ASAM (SUD), ECSII (birth to 6), LOCUS (age 18+), CALOCUS/CASII (6-18), Supplemental Clinical Criteria (Autism services), APA Guidelines (Psych/Neuropsych testing services)



Access: Call, Portal, Fax Coverage

24/7 UM (and Call) Coverage: Operational during AK business hours, after hours, evenings, weekends, and holidays

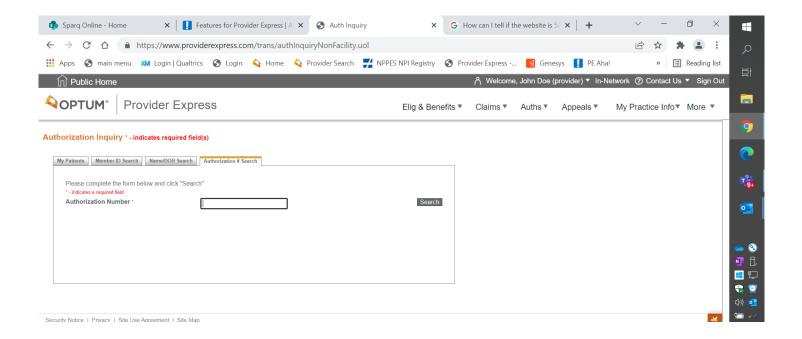
Initial Service Authorizations can come in via Phone, Fax, Salesforce, or mail



Care Advocates maintain Independent and unrestricted clinical behavioral health licensure. Dependent upon the specialty team in which they work, they may work directly with participants or providers. Care Advocates review requests for clinical or community-based services and determine best service and fit based upon available resources and Level of Care Guideline (LOCG) criteria. Care Advocates collaborate with treating providers and facilities to ensure participants are receiving treatment in line with best practice and clinical guidelines. Additionally, Care Advocates work to coordinate benefits and transitions between various levels of care.

Check the Status

Provider Express





What happens next?

Two routes for next steps

Authorization approved

- Verbal notification by Care Advocate
- Authorization letter mailed

Not enough information to approve

- Case staffing with Chief Medical Officer (CMO) then,
- Request for additional information then,
- Peer to peer scheduled with Optum CMO and provider/agency then,
- Denial letter issue with appeals rights provided



Submission Time and Approvals

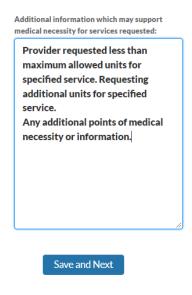
- There is a minimum of five (5) calendar days for review
- A Care Advocate will contact provider/agency within seven (7) days with the determination



Amended Service Authorization Request

If you have a submitted a service authorization request that did not include the maximum allowable units for the 90-day time frame, providers can submit an amended request.

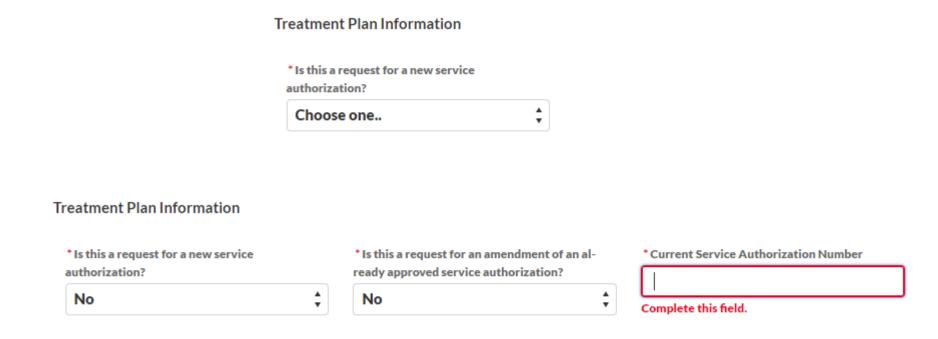
- All medical necessity information would be "no update" except for the description supporting the request for additional units [picture is from the online portal]
- There are some portions in the form that do not offer "no update" as they are situations that can change at a swift rate. Examples: medications and risk to self or others.





Your SA is about to expire: Now what?

 If medical necessity indicates extended stay is recommended/warranted, please follow the same steps previously until the Treatment Plan Information section.



If the participant is transitioning to a new level of care, the receiving level of care provider is responsible for requesting a service authorization.



Continued Stay

FOR CONTINUED SERVICE REQUESTS ONLY

choose one	ngaged in treatment? ♣ ▼	
Is there progress being made	e on goals and objectives since the last ser	vice authorization request?
choose one		*
medical necessity for service	es requested:	
medical necessity for service	es requested:	



Treatment Plan Alignment

- Multiple treatment plan review periods
- Runs concurrent with treatment plan periods and State regulations
- Providers are responsible for having an active, current treatment plan during time of service and authorization dates.



What if a SA cannot be submitted in a timely manner?

What if due to staff outage or being understaffed, a service authorization is not able to be submitted to Optum for 2 weeks (time used for example)?

Example: The service authorization start date/treatment plan start date is 8/1/22 but wasn't submitted to Optum until 8/15/22. Including Optum turnaround time, a determination of the status would not be available until at least 8/19/22. Once approved, would the Authorization start date be adjusted to match the Optum approval date? Or would it be approved for 8/1/22 forward?



In summary:

- Service authorizations will be required at the end of the Federal Public Health Emergency, not before
- Service authorizations are required when the participant's SFY limits are exhausted but can be requested if participant's SFY limit is unknown to avoid a claim denial
- There are two options of completing service auth requests: paper/fillable form or online (via Alaska Optum website)
- Approved authorizations units will be tracked by participant and by provider within the claims system automatically. Authorization number is NOT needed on the claim submission
- All areas are to be filled out
- A printable PDF can be created
- Attachments are helpful however, the only required attachment is the ASAM



Summary Continued

- Fiscal Year limits are reset July 1 of every year
- Do not leave response boxes on the SA blank, this could result in a denied authorization or a delayed authorization determination
- Providers can call the Call Center at 1-800-225-8764, press or say 3 as a provider, then say
 or select 1 for treatment and authorization help. This will connect with a Care Advocate that
 can assist in reviewing authorized units and provide what units have been utilized based on
 paid claims data. Please be advised, it is not real time as it is claims based. When in doubt,
 submit a service authorization form.
- Optum is unable to prescribe how providers track used/unused units
- Ideas for providers include:
 - Excel spread sheet compared to claim submission and/or SA approvals
 - Electronic Health Record system tickler/due date reminder prior to SA ending
 - 3rd party biller tracking system
 - Share ideas in provider meetings with one another



Clinical Retrospective Review

Retrospective (retro) review is a request for a review of services that have already been delivered and a service authorization has not previously been submitted for clinical review.

Retro reviews may be submitted if a provider was approved by the state to retrospectively cover the time of the service and/or if the participant had Medicaid eligibility retroactively approved to cover dates of service.

If a provider has received a claims denial for lack of service authorization, the claim will be considered out-of-scope for a retro review and the provider would have to submit an appeal instead.

Optum must receive retro review requests in writing via fax or mail. Online salesforce submissions for retrospective reviews are out-of-scope for this process.



Clinical Retrospective Review

For a request to be considered a Retrospective Review, there are certain requirements that must be met:

- The request must be received after the member has ended or has been discharged from the service.
- No previous approvals or Non-Coverage Determinations (NCD) can be issued for the episode of care (treatment type, treating provider, and dates of service) identified in the request.
- The request must be received within 180 days after the last date of service.



Required Documentation for a Retrospective Review

- Complete an Optum Alaska retro-review cover sheet. The cover sheet MUST be completed and submitted with all retro-review requests. The cover sheet is located on the provider website at: Alaska.optum.com. Please see below:
- I. Once on the site select the "For Alaska Medicaid Providers" tab at the top in the grey
- II. Once the drop down opens you will then click "service authorizations"
- III. At the bottom of the page, under "Appeals Form" you will find the Retrospective Cover Sheet
- Please include any supporting documentation considered relevant (e.g., admission/intake assessment, biopsychosocial, treatment plan, chart notes, medical records, etc.)



Clinical Retrospective Review Cover Sheet



Optum Alaska Attn: Retroactive Reviews 911 W. 8th Ave Ste 101 Anchorage, Alaska 99501 Fax# 1-855-508-9353

	Retrospective Review Cover Sheet				
Retrospective reviews must be received in writing and can be requested via fax or mail.					
Note: Do not submit a Service Authorization form.					
*Only use this cover sheet for Retrospective Review Requests					
	Participant Name:				
	Participant ID:				
	Participant DOB:				
	Health Plan/Group: STATE (
	•				
	Provider/Facility Name:				
	Provider/Facility NPI:				
	(Do not include future dates)	quest ONLY:			
	Procedure Code	U=Units, D=Days, S=Sessions			
	SAMPLE 90832	U	10		
		•			
	Reason prior authorization was not obtained:				
Please include: (If documents are not submitted, a review cannot be completed)					
☐ Biopsychosocial Assessment (Include any other assessments applicable)					
☐ Treatment plan for dates of service requested					
☐ Medical necessity tool (i.e.: CALOCUS-CASII, LOCUS, ASAM, ECSII)					
Additional documents may be requested as needed					
Ratroca	Batrosmortina Deviews Chant				



How to Submit a Retrospective Review Request to Optum

- There are two options to submit a retrospective review:
- I. You may fax the request to the following number: 855.508.9353 OR
- II. Mail the request to the following address:

Optum Alaska Attn: Retrospective Reviews
911 W 8th Avenue, Suite 101,
Anchorage, AK 99501



Clinical Retrospective Review Determination

Optum will notify providers in writing of the retrospective review decision within 30 days of receipt of the retro-review submission.

To contact the Optum Appeals Department, call 866.245.3040.

If the reviewer upholds the initial decision, providers have the right to file a second level appeal



Retrospective Review Important Notes

- Retrospective Reviews are not to replace service authorization requests.
- Retro reviews may be submitted if a provider was approved by the state to retrospectively cover the time of the service and/or if the participant had Medicaid eligibility retroactively approved to cover dates of service.
- For a request to be considered a retrospective review, there are certain requirements that must be met:
- The request must be received AFTER the participant has ENDED or has been DISCHARGED from the service (this is different from Service Authorizations as the participant is still actively enrolled in services)
- No previous approvals or non-coverage determinations can be issued for the episode of care identified in the request
- The request must be received within 180 days after the last date of service
- Providers submit a retrospective request utilizing the Retrospective Review Cover Sheet located at Alaska.optum.com, For Alaska Medicaid Providers, Service Authorizations, under Appeals.
- Do not submit a service authorization form.



Provider Resources



Provider Training and Outreach Plan

Onboarding of providers takes place with Provider Relations team. Trainings are located on Alaska Optum Website under Technical Assistance Trainings.



Call Center

Providers can contact the call center to ask questions or receive assistance with service authorizations 24/7. Contact number: 1-800-225-8764.



Provider Questions

Issues with: Provider Express or Salesforce: 1-800-225-8764

To complete Service Authorization via phone: 1-800-225-8764

Fax fillable form: 1-844-881-0959

Providers are welcome to email akmedicaid@optum.com during business hours to alert Optum of any issues



Our provider relations department is here to create long-term relationships with providers and engage with them regularly to ensure they are appropriately informed and updated on products, service offerings, and the latest technology available to them.

Provider Relations specialists partner with providers to help them navigate the managed care system and are resources for Provider questions.

What Resources Can Help?

Technical Assistance Training 09/28/22, <u>Service Authorization Form Submission Live</u> Demonstration of Online Portal

Other Technical Assistance Trainings of importance or related to current guidance include:

- 08/24/22 <u>Service Authorizations 101 including Q&A follow up and What, Where, Why, When Guidance</u>
- 06/22/22 Service Authorizations 101
- State Regulations
- 1115 Waiver SUD and Behavioral Health Manuals
- State of Alaska Website
 - Department of Health
 - Department of Behavioral Health



State of Alaska, Division of Behavioral Health Website

https://health.Alaska.gov/dbh





Alaska Behavioral Health Providers Service Standards & Administrative Procedures for Behavioral Health Provider Services

1115 Behavioral Health Medicaid Waiver (alaska.gov)

1115 Behavioral Health Medicaid Waiver

Medicaid Section 1115 Demonstration Waivers provide states with flexibility to test new approaches within Medicaid to aid in redesigning and improving their health systems without increasing costs.

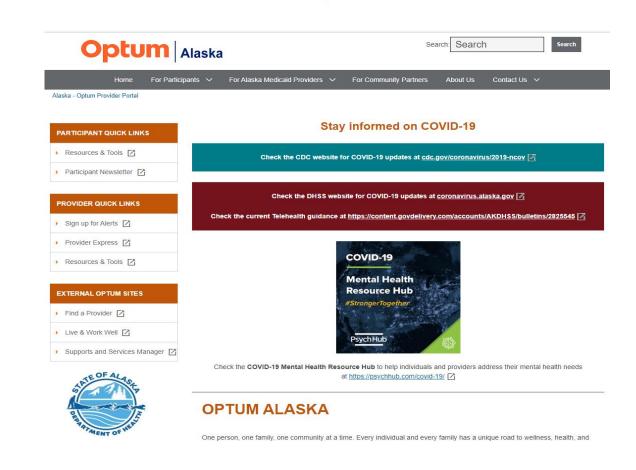
Quick Reference Documents

- Alaska Behavioral Health Providers Services Standards & Administrative Procedures for Behavioral Health Provider Services – June 30, 2021
- Alaska Behavioral Health Provider Standards and Administrative Manual for SUD Provider Services - October 4, 2020



Optum Website

https://alaska.optum.com





Alaska Administrative Service Organization (ASO) Provider Manual

Alaska - Optum Provider Portal



Provider Manual

• Provider Manual (pdf)







Q&A



Optum

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